

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#21/IDS  
10/29/02  
V8INFORMATION DISCLOSURE STATEMENT

In re Application of: Shooshtarian, et al.

Atty Docket No.: AGX-37-RCE-CPA

Serial No.: 09/527,873

Date: October 17, 2002

Filed: March 17, 2000

Art Unit: 2823

Confirmation No.: 4182

Our Account No.: 043403 OCT 25 2002

Title: LOCALIZED HEATING AND COOLING OF SUBSTRATES

Commissioner for Patents  
 U.S. Patent and Trademark Office  
 Washington, D.C. 20231

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. [X] Attached hereto is:

- a. [X] A list of materials for consideration per Rule 98(a)(1): 1 page(s)
- b. [X] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):  
3 item(s)
- c. [ ] For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: Such explanation is provided in the Search Report from a corresponding application enclosed herewith, along with its translation into English.

2. [X] This Information Disclosure Statement is being filed [CHECK ONE]:

- a. [ ] WITHIN THREE MONTHS of the application filing date or national stage date of entry OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b. [X] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
  - i. [ ] Certification per Rule 97(e); OR
  - ii. [X] Filing Fee per Rule 17(p) .....\$180.00
- c. [ ] AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
  - i. Certification per Rule 97(e); AND
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3. [ ] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

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- b. [ ] That no item of information contained in this Information Disclosure Statement was first cited in a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: \_\_\_\_\_  
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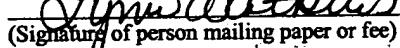
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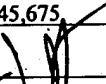
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